



Office of the Conflict
of Interest and Ethics
Commissioner

Commissariat aux
conflits d'intérêts et à
l'éthique

The 2016-2017 ANNUAL REPORT

in respect of the
CONFLICT OF INTEREST ACT



June 8, 2017

Mary Dawson
Conflict of Interest and
Ethics Commissioner

The 2016-2017 Annual Report

in respect of the
CONFLICT OF INTEREST ACT

For additional copies of this publication, please contact:

Office of the Conflict of Interest and Ethics Commissioner
Parliament of Canada
66 Slater Street, 22nd Floor
Ottawa, Ontario K1A 0A6

Telephone: (613) 995-0721
Fax: (613) 995-7308
Email: ciec-ccie@parl.gc.ca

Ce document est également publié en français.

This publication is also available online at the following address:
<http://ciec-ccie.parl.gc.ca/>

© Office of the Conflict of Interest and Ethics Commissioner, Parliament of Canada, 2017
062017-64E





66, rue Slater Street
22^e étage / 22nd Floor
OTTAWA, ONTARIO
CANADA
K1A 0A6

June 7, 2017

The Honourable Geoff Regan, P.C., M.P.
Speaker of the House of Commons
Room 228-N, Centre Block
Parliament of Canada
Ottawa, Ontario K1A 0A6

Dear Mr. Speaker:

I am pleased to submit to you my report on the performance of my duties and functions under the *Conflict of Interest Act* in relation to public office holders for the fiscal year ending March 31, 2017, for tabling in the House of Commons on June 8, 2017.

This fulfills my obligations under paragraph 90(1)(b) of the *Parliament of Canada Act*.

Sincerely,

Mary Dawson
Conflict of Interest and Ethics Commissioner



66, rue Slater Street
22^e étage / 22nd Floor
OTTAWA, ONTARIO
CANADA
K1A 0A6

June 7, 2017

The Honourable George Furey
Speaker of the Senate
Room 280-F, Centre Block
Parliament of Canada
Ottawa, Ontario K1A 0A4

Dear Mr. Speaker:

I am pleased to submit to you my report on the performance of my duties and functions under the *Conflict of Interest Act* in relation to public office holders for the fiscal year ending March 31, 2017, for tabling in the Senate on June 8, 2017.

This fulfills my obligations under paragraph 90(1)(b) of the *Parliament of Canada Act*.

Sincerely,

Mary Dawson
Conflict of Interest and Ethics Commissioner

TABLE OF CONTENTS

I.	INTRODUCTION.....	1
II.	OVERVIEW – TEN YEARS AS COMMISSIONER	3
III.	APPLYING THE ACT.....	5
	Initial Compliance.....	6
	Maintaining Compliance.....	8
	Ongoing Reporting Requirements.....	9
	Fundraising.....	12
	Compliance Measures	13
	Administrative Monetary Penalties.....	15
	Post-Employment.....	16
IV.	INVESTIGATIONS.....	19
	Overview of Investigation Case Files under the Act and the Members’ Code.....	19
	Case Files in Progress under the Act in 2016-2017	21
	Case Files Closed under the Act in 2016-2017	22
	Case Files Carried Over under the Act into 2017-2018.....	23
	Reports Issued under the Act	23
	Discontinued Examinations	30
	Overview of Case Files Closed Without Proceeding to an Examination.....	30
V.	OUTREACH AND COMMUNICATIONS.....	35
	Reaching Out to Public Office Holders and Members	35
	Parliamentary Activities.....	36
	Other Parliamentary Activities.....	37
	Working with Others.....	38
	Inquiries from Media and Members of the Public	39
	Public Communications	41
	Framework for Future Action	41
VI.	ADMINISTRATION	43
	Accountability.....	43
	Human Resources Management.....	43
	Financial Management.....	45
	Information Management and Information Technology.....	45
	Security	46

